

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. INK-046C1

First Named Inventor Jacobson

Title Methods for Achieving Improved Color in  
Microencapsulated Electrophoretic Devices22581 U.S. PTO  
10/817464**APPLICATION ELEMENTS**

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (1 pg)
2. ☒ Small Entity Status  
☐ Applicant claims small entity status  
☒ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 48]  
- Written Description - (29 pages)  
- Claims - (6 pages)  
- Abstract - (1 page)  
- Sheets of Drawings - (12 sheets)  
☐ Formal  
☒ Informal

**ACCOMPANYING APPLICATION PARTS**

8. ☐ 37 CFR 3.73(b) Statement (*when there is an assignee*)  
☐ Power of Attorney
9. ☐ English Translation Document (*if applicable*)
10. ☒ Information Disclosure Statement (2pgs)  
☒ Copies of PTO-1449/PTO-892 from prior application (17 pgs)  
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment (10 pgs Main text)  
☒ Exhibit A: Formal Drawings [Sheets: 12]  
☒ Exhibit B: Copy of papers filed in prior application U.S.S.N. 09/349,806 to extend pendency (Sheets: 7)
12. ☒ Return Receipt Postcard (*specifically itemized*)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority claimed*)
14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)
15. ☐ CD in duplicate for large table or computer program
16. ☐ Other:

4. ☒ Oath or Declaration [Pages 4]  
a. ☐ Newly executed (original)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
c. ☒ Copy of Associate Power of Attorney (1 pg)  
d. ☒ Copy of Limited Recognition (1 pg)
5. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet (3 pgs)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
- ☐ Computer Readable Form (CRF)  
☐ Paper Copy (identical to computer copy)  
☐ CD (2 copies) (identical to computer copy)  
☐ Statement verifying identity of above copies

17. ☒ If a CONTINUING APPLICATION: Amend the specification by replacing the paragraph on page 1, lines 6-7 with the sentence: --This application is a  
☒ continuation of prior application serial No. 09/349,806, filed on July 8, 1999, which in turn claims priority to U.S.S.N. 60/092,050 filed July 8, 1998, the entire contents of both being incorporated herein by reference.--  
**Priority to the above application(s) is claimed under 35 U.S.C. 120.**  
Prior application information: Examiner: B. Talbot. Group/Art Unit: 7162.

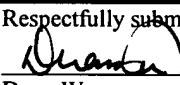
18. ☒ Priority - 35 U.S.C. 119  
☒ Priority of application Serial No. 60/092,050 filed on July 8, 1998 in U.S. is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_/\_\_\_\_ on \_\_\_\_.  
☐ The certified copy will follow.

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100  
Customer No. 021323

**SIGNATURE BLOCK**

Date: April 2, 2004  
Reg. No. Limited Recognition  
Tel. No.: (617) 248-7808  
Fax No.: (617) 248-7100

Respectfully submitted,  
  
Duan Wu  
Attorney for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

17236 U.S. PTO

Express Mail Mailing Label No. EV375068296US

# FEE TRANSMITTAL FY 2004

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Jacobson
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	INK-046C1

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check (\$520.00)
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

## FEE CALCULATION

## 1. FILING FEE

## Large Entity

Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	770.00
340	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims	35	- 20 = 15	x \$ 18.00 =	270.00
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Independent Claims	3	- 3 = 0	x \$ 86.00 =	0.00
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<input type="checkbox"/> Multiple Dependent Claim(s), if any			\$290.00 =	0.00
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TOTAL: 1,040.00

SMALL ENTITY DISCOUNT: 520.00

SUBTOTAL (1) (\$ 520.00)

## 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	-	=	x \$ 18.00 =	
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Indep.	-	=	x \$ 86.00 =	
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<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$290.00 =	
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TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$0.00)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
770	385	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$ 0.00)

SUBTOTAL (1) 520.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$ 520.00)

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Respectfully submitted,

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 Boston, MA 02110